

OPEN ACCESS FUNDS IN ACTION

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Brock University Library	\$10,000	October, 2011	One-time fund established as part of OA Week 2011; renewed for an additional year in 2012	Brock University faculty members (full-time and part-time), currently registered graduate students and postdoctoral fellows.	The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either a fully OA journal.	Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA dissemination costs. Each eligible applicant is entitled to one grant.	# Articles Approved: 7 # Articles Reimbursed: 7 # Unique Submitting Authors: 7 # Unique Departments: 7 # Unique Journals: 6 # Unique Publishers: 6 NOTES: Data through September, 2012
Carleton Library/Office of the Vice President (Research & International)	\$50,000 in combined contributions from the Library and the Office of VP Research	May 1, 20012	The pilot project will be evaluated in relation to its contribution to Carleton's strategic, academic and research plans. Continuation of this initiative depends on the outcome of the pilot and the availability of sustainable base funding.	All Carleton University faculty, post-doctoral fellows, graduate students and full-time professional staff who are named as an author of a research article and who have the lead responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made available if any of the authors of the article are eligible for complete reimbursement of the	Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which are fully "Open Access". That is, all of the content of the entire journal is freely and globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions. Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the	\$2500 per article for fully Open Access journals and a cap on any one author of \$5000 per year.	# Articles Approved: 5 # Articles Reimbursed: 5 # Unique Submitting Authors: 5 # Unique Departments: 5 # Unique Journals: 5 # Unique Publishers: 4 NOTES: Data through September, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				article processing fees for this article through grants from a foundation, granting agency, or other institutions including Carleton.	internet may read, download, copy and distribute that article. In addition, to be eligible, authors must be publishing in a journal which: --is listed in the Directory of Open Access Journals --is a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct -- has a publicly available standard fee schedule -- has a policy to substantially waive fees in case of economic hardship		
Columbia University Libraries/Information Services	Up to \$25,000 for fiscal year 2010; up to \$50,000 for fiscal year 2011	January 2010	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Any Columbia faculty member, post-doctoral researcher, staff member, or student author. Not currently available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.	Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-reviewed articles. Only articles that are published in open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after January 1, 2010 are eligible for this program.	COAP funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: -- Articles reporting on grant-funded research where the granted funds can be used for	# Articles Approved: 20 # Articles Reimbursed: 19 # Unique Submitting Authors: 37 # Unique Submitting Departments: 15 # Unique Journals: 12 # Unique Publishers: 8 NOTES: Data through June, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						<p>publication fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain);</p> <p>-- Articles funded by an institution that itself pays publication fees on behalf of the author (such as Wellcome Trust).</p> <p>Authors may receive funding for up to \$3,000 per year for all article-processing charges. Unused amounts do not roll over to future years. The most an author can request for a single article is also \$3,000.</p> <p>In the case of an article with multiple authors, each eligible author can apply for reimbursement for a prorated portion of the publication fee. An</p>	

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						example: An article with three authors, two of whom are from Columbia, is to appear in a journal with a \$3,000 publication fee. Each Columbia author may apply for reimbursement for \$1,000. In the hypothetical case of an eligible journal with a \$6,000 publication fee, each Columbia author could apply for reimbursement for a prorated portion of the \$3,000 funding cap per article, or \$1,000.	
Concordia University Libraries/Office of Research	\$50,000, including strategic funding from the Office of the President	June, 2011	August, 2013	The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription-based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before	# Articles Approved: 16 # Articles Reimbursed: 11 # Unique Submitting Authors: 11 # Unique Departments: 8 # Unique Journals: 13 # Unique Publishers: 9 NOTES: Data through September, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					<p>adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship.</p> <p>The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.</p>	<p>applying to the Open Access Author Fund.</p> <p>Fund recipients must enable the deposit of their article in Spectrum: Concordia University Research Repository.</p>	
Cornell University Library	\$50,000	September, 2009	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. If the pilot project proves to be valuable to scholarly communication, COAP may receive	Available to any Cornell faculty, post-doctoral researcher, staff member, or student author.	<p>Publication and processing fees for scholarly peer-reviewed articles in open-access journals meeting these requirements:</p> <p>* Listed in the Directory of Open Access Journals</p> <p>* Member of the Open Access Scholarly Publishers Association or adherent to its Code of Conduct</p> <p>* Provide unfettered access to all peer-reviewed articles (journals with a hybrid open-access model</p>	<p>- Articles for which alternate publication funding is available are not eligible for COAP funds. This includes articles whose research was funded by grants or gifts that allow grant funds to be used for article processing fees (regardless of whether that particular grant had budgeted for such fees).</p> <p>- Reimbursement</p>	<p># Articles Approved: 20 # Articles Reimbursed: 16 # Unique Submitting Authors: 53 # Unique Departments: 20 # Unique Journals: 11 # Unique Publishers:10</p> <p>NOTES: Data through August, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			continued funding.		<p>or delayed open-access model are not eligible)</p> <p>* Publicly available standard article fee schedule.</p> <p>* Policy to waive fees in case of economic hardship.</p>	<p>cap for a single article is \$3,000.</p> <p>- Authors may receive reimbursement for up to \$3,000 per year for all submission and publication charges.</p> <p>- Multiple Authorship: In the case of an article with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are Cornellians, each may enter an application for \$1,000. If both apply, \$2,000 will be sent to the journal. If the journal has a \$6,000 publication fee, the</p>	

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						\$3,000 per article cap will apply and each Cornell author may only apply for \$1,000.	
Dartmouth College Library	N/A	Fall, 2009		Dartmouth faculty and graduate students.	<p>Established, scholarly open access journals. Subscription-based journals that charge a fee, sometimes called an “author’s choice” or “open choice” fee, to make single articles available by open access are not eligible. In addition, eligible journals must:</p> <ul style="list-style-type: none"> - Be listed in the Directory of Open Access Journals (www.doaj.org) - Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct - Make their standard fee schedules publicly accessible - By policy, waive their fees in cases of financial hardship 	The limit for a single payment is set at \$3,000. Individuals may be supported up to \$3,000 per year in journal payments, in order to make funding available to the greatest number of authors. In the case of multiple authors, an individual author’s support will be set at a prorated portion of the publication fee, which will be set by dividing the fee by the number of authors.	<p># Articles Approved: 9 # Articles Reimbursed: 9 # Unique Submitting Authors: 9 # Unique Departments: 4 # Unique Journals: 7 # Unique Publishers: 6</p> <p>NOTES: Data through September 15, 2012</p>
Duke University	Up to	September,	Subject to	Duke faculty	Articles accepted for	Individual authors	# Articles Approved: 39

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Libraries, Duke University School of Medicine, and the Office of the Provost	\$27,000, jointly supported by the Libraries, the School of Medicine, and the Provost	2010	periodic evaluation	members, post-doc researchers, and graduate or professional students	<p>publication in a peer-reviewed, open access publication, as determined by:</p> <ul style="list-style-type: none"> * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct <p>Only journals that are fully open access based on a published schedule of article processing fees are eligible. The fund cannot be used to support “hybrid” open access publishing, where individual articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible.</p>	<p>may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum per-article reimbursement of \$2,000. Unused funds do not roll over to future years.</p> <p>When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the annual cap.</p> <p>Articles that are supported by a gift or grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds</p>	<p># Articles Reimbursed: 33 # Unique Submitting Authors: 30 # Unique Departments: 14 # Unique Journals: 18 # Unique Publishers: 10</p> <p>NOTES: Through August, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Emory University Libraries	\$25,000	September 1, 2012	The Fund's status will be reviewed in August, 2013	<p>Emory University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible to apply for funds for open access fees for articles and books connected with their research activities at Emory. Preference is given to authors who have not been previously funded.</p> <p>In the case of an article or book with multiple authors, each author is responsible for a prorated portion of any publishing fees. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year.</p>	<p>The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct.</p> <p>Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication.</p>	<p>Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of \$1,500.00 per article or book. Funds may be used for open access publishing and processing fees, including open access page charges. Funds may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund.</p>	<p># Articles Approved 1 # Articles Reimbursed 1 # Unique Submitting Authors 5 # Unique Departments 1 # Unique Journals 1 # Unique Publishers 1</p> <p>NOTES: Fund launched September, 2012</p>
Grand Valley State University Libraries	\$25,000/yr	Fall 2011	The Fund will be reviewed annually adjustments made as necessary.	- Applicant must be a current GVSU tenure-track, visiting, affiliate and adjunct faculty, staff, or currently registered graduate student.	- Funding is available for newly published (within the past six months), peer reviewed articles in open access or hybrid journals that charge a publication fee associated with the cost making an article	- There is no limit to the per article amount that can be funded, but an author may not receive more than \$3,000 in one year.	<p># Articles Approved: 4 # Articles Reimbursed: 3 # Unique Submitting Authors: 6 # Unique Departments: 5 # Unique Journals: 4 # Unique Publishers: 4</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				- Applicant must be listed as one of the authors, and article must indicate GVSU affiliation.	<p>freely available.</p> <p>- Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.</p> <p>- Articles must be made freely available on the web at the time of initial publication, with no embargo period.</p> <p>- Eligible journals must be listed in the Directory of Open Access and Hybrid Journals or meet the DOAJ's selection criteria. The Libraries has a selective list of open access and hybrid publisher fees for quick reference.</p> <p>- Eligible journals must make their fee schedule publicly available online.</p>	<p>- Authors with grant support or external funding to cover publishing fees are ineligible.</p> <p>- For articles with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are from GVSU, each may separately apply for \$1,000. If both apply, up to \$2,000 of the fees will be reimbursed, with \$1,000 being applied to each person's \$3,000 annual award limit.</p>	NOTES: Through August, 2012
Harvard University Library/Office of Scholarly Communication	None	Fall, 2009	None	Funds are available for researchers at Harvard schools that have instituted an open-access policy.	- Reimbursable article-processing fees may include publication fees (charges levied on articles accepted for publication,	- \$3,000 per article - There is no limit on the number of articles reimbursed,	# Articles Approved: 12 # Articles Reimbursed: 12 # Unique Submitting Authors: 24 # Unique Departments: 9 # Unique Journals: 15

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				<p>Currently, these are: FAS (including SEAS), HLS, HKS, HGSE. Faculty, researchers, staff, and students may request reimbursement for articles connected with their research activities at these schools.</p> <p>- All authors agree to post a copy of their article in the institutional repository.</p>	<p>including page charges), and submission fees (charges levied on articles submitted for publication). Eligible fees must be based on a publication's standard fee schedule that is independent of the author's institution.</p> <p>- Articles for which alternative funding is available are not eligible for reimbursement.</p> <p>- The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. Journals with a hybrid open-access model or delayed open-access model are not eligible. To be eligible, a journal must meet these additional requirements:</p> <p>* Be listed in the Directory of Open Access Journals, * Be a member of the Open Access Scholarly Publishers Association or</p>	<p>except that authors may receive reimbursement for up to a total of \$3,000 per academic year for all article processing fees. Reimbursement can cover 100% of fees up to the cap. Unused amounts do not roll over to future years. Exceptions to the \$3,000 cap may be made based on availability of funds.</p> <p>- In the case of an article with multiple authors, each author is responsible for a prorated portion of any publishing fees.</p>	<p># Unique Publishers: 9</p> <p>NOTES: Data through September 15, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					<p>adhere to its Code of Conduct,</p> <ul style="list-style-type: none"> * Have publicly available a standard article fee schedule, and * Have a policy to substantially waive fees in case of economic hardship. 		
MIT Libraries	Pilot funding for FY2011	June 2010	This is a pilot project subject to periodic evaluation	Current MIT faculty	<p>Open-access journals that:</p> <ul style="list-style-type: none"> * Are peer reviewed * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship <p>Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer an "open choice" option to make a particular article open access are not eligible.</p> <p>Articles reporting on research that was</p>	The subsidy is limited to \$1,000 per article, regardless of the number of authors.	<p># Articles Approved: 8 # Articles Reimbursed: 7 # Unique Submitting Authors: 6 # Unique Departments: 6 # Unique Journals: 6 # Unique Publishers: 4</p> <p>NOTES: Data through August, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source of funding is available.		
Memorial University Libraries	\$20,000	Summer, 2011	None	All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer-reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher.	The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria: a) Journal content is peer-reviewed. b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader. c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.)	Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no other funding source to cover APCs will be given priority over those with research funding available for this purpose.	# Articles Approved: 26 # Articles Reimbursed: 26 # Unique Submitting Authors: 25 # Unique Departments: 13 # Unique Journals: 16 # Unique Publishers: 6 NOTES: Data through September, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					<p>A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication.</p> <p>The fund will not cover open access author's fees in gated or hybrid journals.</p>		
Simon Fraser University Library	\$50,000 annually	January, 2010	None	SFU authors who are faculty, staff or graduate students are eligible to use the Central fund. Undergraduate students working under the supervision of a faculty member are also eligible.	Eligible journals will be those in the Directory of Open Access Journals, or those whose editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover optional article processing charges from hybrid journals. The fund does not cover additional charges such as for including color plates, long articles, submission fees, or excess page charges.	<p>It is anticipated that SFU authors will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder mandates.</p> <p>The amount each SFU author may claim during one fiscal year is limited to \$10,000.</p>	<p># Articles Approved: 95 # Articles Reimbursed: 90 # Unique Submitting Authors: 67 # Unique Departments: 19 # Unique Journals: 49 # Unique Publishers: 10</p> <p>NOTES: Data through September, 2012</p>
Southern Illinois University Carbondale Morris	\$25,000 for the first year;	Fall 2011	The Fund is a pilot project which will be	Grants are available to faculty, including nontenure track	- Funding is available for open access journals that do not charge a fee for	- For fully open access journals: \$2000 per article and	<p># Articles Approved: 16 # Articles Reimbursed: 12 # Unique Submitting Authors: 9</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Library	\$17,000 for year two		reviewed annually	faculty, and graduate students.	<p>institutions, libraries or readers for access to the content, This includes:</p> <ul style="list-style-type: none"> • Members of the Open Access Scholarly Publishers Association (OASPA) • All journals in the Directory of Open Access Journals that allow authors to retain distribution rights • Hybrid journals that allow authors to retain distribution rights. <p>- Journals must not have an embargo period for access.</p> <p>- Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.</p>	<p>per year per author</p> <p>- For hybrid journals: \$1500 per article and per year or 50% of the Open Access fee, whichever is less.</p> <p>- Articles whose underlying research was funded by grants that allow funds to be used for article-processing fees (regardless of whether that particular grant budgeted for such fees) are not eligible for funding.</p>	<p># Unique Departments: 7 # Unique Journals: 12 # Unique Publishers: 6</p> <p>NOTES: Data through August, 2012</p>
Libraries and Cultural Resources, University of Calgary	\$100,000 (CAN)	June 2008	None. The Fund is in its second year and is expected	University of Calgary faculty, staff, graduate students, and postdocs.	- Publication fees for peer-reviewed articles that have been accepted in journals which are fully open	<p>- If eligible, the entire fee is covered.</p> <p>- Authors must</p>	<p># Articles Approved: 317 # Articles Reimbursed: 218 # Unique Submitting Authors: 218* # Unique Departments: 46*</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			to continue.		access. - Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid") are eligible if the publisher reduces subscription costs in response to the take-up of the OA option.	exhaust other funding avenues (e.g., grants) before turning to the Fund.	# Unique Journals: 149* # Unique Publishers: 21* NOTES: Data through September 28, 2012 * indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope
UC Berkeley Research Impact Initiative (co-sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	\$60,000	January 21, 2008	None	UC Berkeley faculty members, post-docs, and graduate students	- Articles must be made freely available at the time of initial publication. No embargo periods. - Article may be published in any open access publication, or hybrid journal offering a paid access option.	- Maximum reimbursement of \$3000 per article for fully open access publication. - Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. - Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund. - Authors are limited to \$6,000 in BRI funds each year.	# Articles Approved: 199 # Unique Submitting Authors: 142 # Unique Departments: 23 # Unique Journals: 54 # Unique Publishers: 26 NOTES: Data through September 15, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Florida Provost's Office	\$120,000 over 18 – 24 months	July 1, 2010	The project will be reviewed after 18 months according to a set criteria .	All University of Florida faculty, post-doctoral researchers, staff members, and students.	<p>Publication fees in open-access journals that provide free, immediate, online access to the full text of research articles without restrictions.</p> <p>Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct.</p> <p>A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.</p>	<p>* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions.</p> <p>* Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher.</p> <p>* UFOAP funds are intended to support open access to research articles where publication fees are not covered by grants or other funding sources. In order to encourage inclusion of publication fees in future grant applications, articles resulting from awarded grants that allow for budgeting of article processing fees are excluded unless the grant</p>	<p># Articles Approved: 161 # Articles Reimbursed: 161 # Unique Submitting Authors: 128 # Unique Departments: 52 # Unique Journals: 84 # Unique Publishers: 39</p> <p>NOTES: Through September 15, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						<p>application was submitted prior to the establishment of the UFOAP, July 1, 2010.</p> <p>* Funding for multi-authored articles will be prorated. If the processing fee is \$3,000 and there are four UF authors, each may apply for \$750. For fees exceeding the \$3,000 cap per article, each UF author may apply only for his/her prorated portion not to exceed the \$3,000 yearly cap for each researcher, or \$1500 per article for paid-access.</p>	
University of Kansas (with support from the University of Kansas Provost, The University of Kansas Medical Center Executive Vice Chancellor, and the Offices of Research at The University of Kansas and University of Kansas Medical Center)	\$50,000	October, 2012	Two year pilot	Faculty, graduate students, post-docs, and staff on the Lawrence and Kansas City campuses during year one.	Submitted-for-publication or accepted-for-publication peer-reviewed articles in open access journals. An "open access journal" is a journal listed in the Directory of Open Access Journals and published by a publisher who holds membership in the Open Access Scholarly Publisher's Association. Subscription-based journals that charge	Funding covers costs of article processing fees only (no page or color charges), up to \$2000 per article. Funds will not be encumbered for articles that are still being written. Already-published articles are ineligible.	# Articles Approved: 11 # Articles Reimbursed: 9 # Unique Submitting Authors: 11 # Unique Departments: 7 # Unique Journals: 6 # Unique Publishers: 6 NOTES: Through December, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.		
University of Michigan Library and the Office of the Provost	\$30,000	September, 2010	The Fund stopped accepting new applications in May, 2012	All University of Michigan faculty, post-doctoral researchers, graduate and professional students, staff members, and students.	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work: *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in open access repositories (e.g. Deep Blue). *for which the open access publication fee is less than \$1500.	Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria: *Retaining Rights: If you will not retain copyright or the right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher's open access fee. *Publish in fully open journals: An open journal is one for which all peer-reviewed content is available freely to readers. If the work will appear in a "hybrid" journal — one for which some of the peer-reviewed work is only available	# Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30 NOTES: NOTES: Through September 15, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						<p>to paid subscribers — we will fund the lesser of \$1000 or 50% of the publisher’s fee.</p> <p>*Assure access is immediate upon publication: If access to the published article is not immediate we will fund the lesser of \$1500 or 50% of the publisher’s fee. If the publisher will delay access longer than 12 months, we will not provide any funding.</p> <p>* Use grant funding wherever possible: If your grant funding could have been applied to publishing charges, we will fund the lesser of \$1500 or 50% of the publisher’s fee. We will only do this once for an author/group of authors, since such fees should be included in future grant applications.</p>	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library funding)	\$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University Library	March 2005	Until money expended; hope to raise more	UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees	Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	- Award maximum is \$1000 per article. - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund.	# Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments: 12* # Unique Journals: 47 # Unique Publishers: 19 NOTES: Data through September 15, 2012 *UNC categorizes data by school (e.g., medicine, dentistry) rather than department.
University Libraries University of North Carolina at Greensboro	\$11,500 for the fiscal year 2011-2012	Fall 2011	The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development. It will be reviewed at the end of FY12.	Full-time faculty, full-time EPA employees, and graduate students are eligible to apply	- The article must be published in a peer-reviewed open-access journal.	- Reimbursement will not exceed \$1,000 per article. - Reimbursement will be limited to one award per fiscal-year per author. - Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund.	# Articles Approved: 3 # Articles Reimbursed: 3 # Unique Submitting Authors: 3 # Unique Departments: 3 # Unique Journals: 3 # Unique Publishers: 3 NOTES: Data through August, 2012
University of Oregon Libraries, Office of the Provost	Up to \$50,000	Spring 2009	Extends through winter 2010	- Applicant must be a UO tenure-related faculty member, non-tenure-related career faculty member	Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA	- Up to 100% of author fee for publishing a peer-reviewed manuscript in an open access	# Articles Approved: 35 # Articles Reimbursed: 24 # Unique Submitting Authors: 22 # Unique Departments: 6 # Unique Journals: 16

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				<p>(including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate student ready to submit a completed article for publication.</p> <p>- Applicant must be listed as one of the authors, and article must indicate UO affiliation.</p>	<p>page charges, web hosting for self-archiving, etc.). Manuscript must be a peer-reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must be made freely available on the web at the time of initial publication (no embargo periods). At this time funding is not available for articles published using an "open choice" option in journals that are primarily subscription based rather than open access.</p> <p>Journals listed in the Directory of Open Access Journals (http://www.doaj.org/doaj) are assumed to qualify. For publication in a journal not listed there, additional information to allow assessment of whether the journal meets our criteria will be required as part of</p>	<p>journal. Maximum reimbursement will be \$1,000 per article.</p> <p>- Maximum reimbursement will be \$3,000 per person over the period of this pilot project. Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.)</p> <p>- Reimbursement will be available only in cases where the authors do not have adequate grant or contract funding specifically designated to cover author fees. Authors with funding designated to cover publication costs such as author fees from grants, contracts, or other institutional funds are asked to use</p>	<p># Unique Publishers: 8</p> <p>NOTES: Data through September, 15, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					the application process.	those funds first and to allow others to share in the benefit of open publications.	
University of Ottawa	\$100,000 (CAD), with financial support from the University, the Library and most Faculties.	January, 2010	The Author Fund working group (whose members represent the Office of the Vice-President, Research, the Library and all contributing faculties) will evaluate this initiative periodically, and recommend any changes to the Fund's criteria and administration procedures, when appropriate.	The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, postdoctoral fellows and adjunct professors affiliated with the University of Ottawa. Researchers working for University of Ottawa Research Centres and Institutes, and Affiliated Research Institutes are also eligible.	Eligible open access journals must be listed in the Directory of Open Access Journals or meet the DOAJ's selection criteria. Eligible hybrid and traditional journals must make articles available immediately and allow self-archiving of the publisher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access books.	<p>The Fund covers only the costs and fees associated with open access publishing. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year.</p> <p>The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.</p> <p>Applicants must first use funds received through other research grants that are intended to cover publication or</p>	<p># Articles Approved: 200 # Articles Reimbursed: 169 # Unique Submitting Authors: 122 # Unique Departments: 30 # Unique Journals: 122 # Unique Publishers: 43</p> <p>NOTES: Through September 15, 2012</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						submission fees. Should the grants not allocate sufficient funds to cover such costs, an application can be submitted to this Fund.	
University of Pittsburgh Library System	No limit	July 1, 2012	None	Funds are available for faculty, staff, postdoctoral associates, and students served by the ULS and the BARCO Law Library. This includes those at all of the University of Pittsburgh schools except the six schools in the health sciences: Dental Medicine, Health and Rehabilitation Sciences, Nursing, Medicine, Pharmacy, and Public Health.	<p>The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. To be eligible, a journal must meet these additional requirements:</p> <ul style="list-style-type: none"> • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility) OR • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a standard article fee schedule. <p>The ULS is an institutional member of Hindawi Publishing Corporation,</p>	<p>No limit on per-article reimbursement fees. Reimbursable article processing fees may include publication fees (charges levied on articles accepted for publication, including page charges). Eligible fees must be based on a publication's standard fee schedule that is independent of the author's institution. Reprint fees are not eligible.</p> <p>Articles for which alternative funding is available are not eligible for reimbursement. This includes articles reporting research funded by a gift or a grant from a granting</p>	Fund launches July, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					<p>which publishes over 300 Open Access journals in a variety of disciplines. The ULS' membership allows for all authors served by the ULS who publish in Hindawi journals to do so free of author charges. There is no need for authors to apply to the ULS for publication funds.</p> <p>Journals with a hybrid open-access model or delayed open-access model are not eligible.</p>	<p>agency, foundation, or other institution (including the University of Pittsburgh itself) that allows granted funds to be used for article processing fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain) and articles funded by an institution that itself pays article processing fees on behalf of the author (such as NIH).</p>	
University of Tennessee, Knoxville Office of Research and the University Libraries	\$20,000	October, 2008	Extends through June 2009 or until funding is exhausted and will be evaluated	Any UTK faculty member, post-doctoral associate, or currently enrolled graduate student.	<ul style="list-style-type: none"> - Article processing fees levied by peer-reviewed open access publishers such as the Public Library of Science and BioMedCentral. - Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. - Hybrid journals that make only selected articles open 	<p>Funds are awarded on a first-come, first-served basis to a maximum of \$3,000 per article.</p> <ul style="list-style-type: none"> - Authors are requested to negotiate lower publishing fees when possible. - Authors with grant support to cover open access publishing are requested not to 	<p># Articles Approved: 63 # Articles Reimbursed: 59 # Unique Submitting Authors: 45 # Unique Departments: 25 # Unique Journals: 36 # Unique Publishers: 14</p> <p>NOTES: Data through July, 2012</p> <p>Poster presentation about the service available at: http://trace.tennessee.edu/utk_libfpubs/1/</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					access are not included in the pilot project.	apply.	
University of Utah Library	\$29,907 funded in two rounds through 2011	May, 2011	The Fund was first opened May 2, 2011, for a one month window. A second round was convened from October 24, 2011, through November 4, 2011.	University of Utah faculty, staff members, postdoc, or currently enrolled graduate or undergraduate students on main (excluding law) campus.	<p>a) Journals which are fully Open Access. Content of the entire journal is freely available online immediately upon payment of the article processing fee. Fully Open Access journals from publishers who have few such journals are also eligible.</p> <p>b) Hybrid journals which are not fully Open Access but which allow individual articles to be made freely available online immediately upon payment of the submission fee. To be eligible for funding in this category, the publisher must plan to make (in the next subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals.</p>	The maximum dollar amount that the OAP Fund will reimburse for a single article during the trial period is \$3,000. Researchers are expected to request funding for open access publication from their funding agency before applying to the OAP Fund.	<p># Articles Approved: 47 # Articles Reimbursed: 35 # Unique Submitting Authors: 43 # Unique Departments: 15 # Unique Journals: 26 # Unique Publishers: 13</p> <p>NOTES: Through September 15, 2012</p>
University of Wisconsin – Madison Libraries	Supported by unrestricted gift funds to library	July 2005	Open-ended subject to internal resource constraints	<p>- Any University of Wisconsin-Madison faculty and researchers</p> <p>- Reimbursement will be limited to one award per fiscal year</p>	- Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option.	- Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals).	<p># articles approved: 23 # articles reimbursed: 23 # unique submitting authors: 22 # unique departments: 17 # unique journals: 16 # unique publishers: 13</p> <p>NOTES: Data above cover date range</p>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				per author.	- Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc.	- Up to 30% for publishing in a journal that provides authors with an open access option. - Reimbursement will not exceed \$1,500 per article. - Authors must cover the balance of the open access charges through grants or other funds.	from 2/8/08 – 9/25/12.
Utah State University Libraries	\$30,000	October, 2011	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Faculty and graduate students	Peer reviewed journal articles. Fully OA journals are preferred, but consideration will be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons.	There is no limit on the per-article reimbursement fee amount. Funds will be available on a first-come-first-served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed.	# Articles Approved: 3 # Articles Reimbursed: 3 # Unique Submitting Authors: 4 # Unique Departments: 2 # Unique Journals: 3 # Unique Publishers: 3 NOTES: Data through September 15, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						- Those who publish in fully open access journals	
Wake Forest University Z. Smith Reynolds Library	\$6,500 (library contribution; 1/3 of total fund)	Early 2008	None, although program is regularly assessed and evaluated	Available to WFU Reynolda campus faculty. Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards) are still eligible for WFU funds, but must use all available external funds before seeking WFU funds.	Eligible publications include peer-reviewed journal articles and book chapters. Articles and book chapters must be publicly available immediately upon publication. Articles and book chapters may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an additional fee). Full OA journal publishers must either be listed in the DOAJ: Directory of Open Access Journals (http://www.doaj.org) or be members of the Open Access Scholarly Publishers Association (http://oaspa.org). Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional	- No limit on size of request faculty can make.	# Articles Approved: 13 # Articles Reimbursed: 13 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 13 # Unique Publishers: 11 NOTES: Data through August, 2012

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					digital archive, WakeSpace.		